

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bettis et al

Serial No. 09/597,742

Filed: 19 June 2000

Group Art Unit: 3881

Examiner: SUBRAMANIAN, N.

For: System, Method And Computer Readable Medium Containing Instructions For Evaluating
And Disseminating Investor Performance Information

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ No additional fee is required.
☐ Small Entity Status has been previously established.
☐ Also attached: Request for Interview
☒ The fee has been calculated as shown below:

LARGE ENTITY

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	21	110	0	x \$18 =	0
Independent Claims	3	3	0	x \$86 =	0
If multiple claims newly presented, add \$140.00					
Fee for extension of time (2-months)					\$ 420.00
					\$0.00
TOTAL FEE DUE					\$420.00

- ☒ Please charge my Deposit Account No. 08-0219 in the amount of \$ 420.00. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 08-0219, including any filing fees under 37 CFR § 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR § 1.17.

Respectfully submitted,

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IHD:cj

26119.100 US1

DIPE JC98
JUN 01 2004

Applicant Initiated Interview Request Form

Application No.: 09/597,742 First Named Applicant: Bettis et al.
Examiner: N. Subramanian Art Unit: 3881 Status of Application: Pending

Tentative Participants:

(1) Irah Donner, Esq. (2) Craig Columbus
(3) _____ (4) _____

Proposed Date of Interview: _____ Proposed Time: _____ (AM/PM)

Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☐ NO

If yes, provide brief description: to discuss the rejected claims

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>21-39</u> <u>128, 130</u>	<u>Fox</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>Rej.</u>	<u>21-39</u> <u>128, 130</u>	<u>Official Notice</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

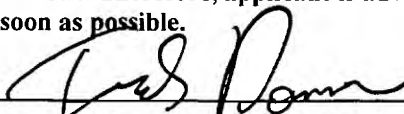
to discuss why the common knowledge and official notice does not relate to the
present invention as well as why Fox does not relate to the present invention.

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.


(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.